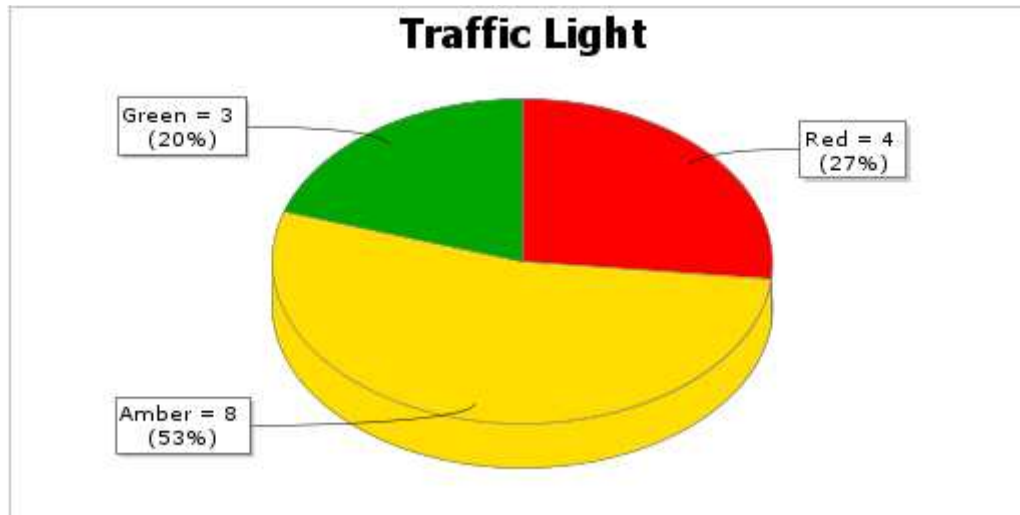
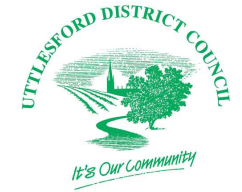







# Corporate and Strategic Risk Register 2012-13 - Quarter 4



**Report Type:** Risks Report  
**Report Author:** Debra Admin\_Collins  
**Generated on:** 19 April 2013






Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-ENV-01 Affordable Housing	Failure to find a balance between the delivery of affordable housing, in order to meet the need for accommodation, and sourcing sites	3	3	3	3	9		2	2	Adherence to the Core Strategy timetable coupled with consistent communication and community engagement. Strong	Mead Court planning application submitted following further pre submission consultation. Planning committee has resolved to grant	Roger Harborough



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	acceptable to the community									political leadership to ensure adoption of Local Development Framework	planning permission for exception scheme as Hatfield Heath and Cabinet has agreed an easement at no	
12-CR-ENV-02 Risk of increased recycling costs	Risk of increased costs due to fluctuating pricing structure for recyclable materials and increasing cost of landfill.	3	3	3	1	3		3	1	Explore option of arranging own bulk transport between transfer station and MRF if ECC recharges for transport are unfavourable	Contract monitoring in place	Roger Harborough
12-CR-ENV-03 Lack of public engagement in recycling scheme	Public fails to engage in new recycling scheme resulting in recycling rate not increasing.	3	2	3	2	6		3	1	Continued communication with residents about the importance of recycling to further increase the district's recycling rate. Introduction of waste service improvements including garden waste collection	Management focus has been on establishment of single pass collections in Q3 and Q4. Reducing the amount of cardboard, food waste and glass in residual waste remains an issue.	Roger Harborough
12-CR-ENV-	Potential for	3	2	3	2	6		2	2	Training for	Joint patrols	Michael Perry


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04 Potential increase in environmental crime	more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints									Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	with Police / PCSOs have now commenced, however no FPNs have been issued whilst carrying out patrols as no offences have been witnessed. Liaising with other Councils on best practice for issuing FPNs. FPNs are still being issued for littering and fly posting when the individual or company can be identified. Prosecutions have been brought where the offender has not paid the FPN	
12-CR-ENV-05 Lack of available funds for Highways improvement	Little money available for Highways improvements due to pressures on County	3	3	3	2	6		2	3	Targeted improvements in district due to local member involvement in Highways	2012/13 budget fully allocated following meeting of the Panel in March	Roger Harborough

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	Council budget									Panel/Locality Board		
12-CR-ENV-06 Inability to deliver HRA Business Plan	Government reforms or changes in income/ expenditure from assumed levels impair the Council's capacity to deliver the intended outcomes of the HRA business plan, such as new build and stock enhancements	3	3	3	2	6		2	2	Regular review of HRA budget and business plan by Housing Board. Ensuring that servicing debt and RTB requirements are prioritised. Develop plans to use available headroom	Programmed work for 2012/13 has been completed. Cost savings achieved by efficient use of in house resources rather than contractors. Headroom can be used to support enhanced programme of investment. Surveyor team strengthened to support enhanced programme.	Roger Harborough
12-CR-FIN 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	4	1	2	1	2		4	1	A Corporate Team was established in 2010. Savings achieved to date have been approx £1.8 million per annum	Budget savings are on track. However the current budget forecasts would enable the implementation to be phased over a longer period should	Adrian Webb



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											that be necessary	
12-CR-FIN 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	3	3	3	3	9		2	2	Work with local government partners to share the risks and benefits of reform	The 2013/14 settlement combined a cut in core funding with an increase in New Homes Bonus, and a net increase overall. This will help ensure stability in the short to medium term, but the longer term position will be challenging. Major reform is implemented in 2013/14, the results of this may be variable. Recent indications are that large funding reductions will take effect from 2015/16 so the risk score reverts to the original	Stephen Joyce

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											assessment.	
12-CR-PAR-01 Key partners unable to contribute to LSP	Key partners are unable to contribute to the LSP because of changes elsewhere in the public sector. The concept of the Big Society may be difficult to communicate	2	3	2	1	2		2	2	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	The groups continue to work very well	John Mitchell
12-CR-PEO-01 Failure to embed	Failure to embed sound equality &	3	2	3	2	6		3	1	Necessary information available to	Progress being made. UPerform for	John Mitchell





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Equality & Diversity and H&S	diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community									all staff and regular training given	2013 commencing. Position being analysed prior to informal peer review	
12-CR-PEO-02 Failure to provide and implement a sound economic strategy	Failure to provide and implement a sound economic strategy which could lead to a failure to support existing businesses and attract new investment	3	2	3	2	6		2	2	Develop and implement a sound economic strategy in conjunction with West Essex partners and allocate budget to support this work	Economy remains flat	Roger Harborough
12-CR-PEO-03 Risk of adverse impact from reform of council tax benefits	The reform of council tax benefits could adversely impact some people currently in receipt of benefits.	3	2	2	4	8		2	4	Work with JobCentre Plus to promote change to system and maximise employment opportunities. Work with other Essex	The UDC LCTS scheme adopts DCLG Transition principles and therefore impact on the claimant is less severe	Stephen Joyce

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										Councils to develop a single County-wide scheme to give continuity to claimants moving within the County.		
12-CR-PEO-04 Adverse impact from other welfare reforms	Reforms to Housing Benefit and other welfare changes cause hardship to some people and operational difficulties for the Council.	3	4	2	4	8		2	4	Close liaison with partner agencies including CAB. Clear and proactive communications with affected people. Resource planning	A new Discretionary Housing Payments Policy (with increased funding) has been adopted which will ensure targeted support to those in greatest need on a fair and consistent basis. Staff resource to support council tenants relying on housing benefit now engaged in advising tenants. % of rent collected remains above target.	Roger Harborough; Stephen Joyce



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12-SR-01 Disruption of Council business	Disruption of council business e.g. due to loss of building, widespread staff absence or extreme weather conditions	4	2	4	1	4		3	2	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents.  Ensure relevant HR policies are in place and understood	Current plan being revised and finalised following audit recommendations.	Michael Perry
12-SR-02 Risk of major emergency	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	In relation to emergency plans around the airport, there is no change. The local parish council emergency response team are undergoing training and will be observed by	Michael Perry

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											UDC during the joint emergency planning exercise scheduled for May (in their own 'bolt on' scenario which has been organised for them)	

Risk Status	
	Alert
	High Risk
	Warning
	OK